

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 12-2004)		Attorney's Docket Number <b>23913.0001</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.5) <b>10/533698</b>
International Application No. <b>PCT/CH2003/000624</b>	International Filing Date <b>September 16, 2003</b>	Priority Date Claimed <b>November 11, 2002</b>
Title of Invention <b>Diffraction Pigments</b>		
Applicant(s) for DO/EO/US <b>Steffen PILOTEK, et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), and (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached herewith.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 20. below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 U.S.C. 1.821 - 1.825.</li> <li>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: Return Receipt post card</li> </ol>		

Express Mail Label No. EL 5793464 US		Date: May 3, 2005	Page 1 of 2
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21. The following fees are submitted:

	Applicant use	CALCULATIONS PTO USE ONLY
<input checked="" type="checkbox"/> a) Basic national fee.....	\$300.00	
<input checked="" type="checkbox"/> b) Examination fee.....	\$200.00	
<input checked="" type="checkbox"/> b) Search fee.....	\$500.00	
<b>TOTAL OF ABOVE CALCULATIONS: .....</b>	<b>\$1,000.00</b>	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate
17 - 100 =	/50 =		x \$250.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate	
Total claims	40 - 20 =	20	x 50.00	\$1000.00
Independent Claims	3 - 3 =	0	x 200.00	\$

Multiple Dependent Claims (if applicable) + 369-00005 WA SARAD 00000001 195029 10533698

**TOTAL OF ABOVE CALCULATIONS: \$1,000.00**

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

	\$	
<b>SUBTOTAL =</b>	\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		
<b>TOTAL NATIONAL FEE =</b>	\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		
<b>TOTAL FEES ENCLOSED =</b>	\$2040.00	

	\$	
<b>Amount to be refunded:</b>	\$	
<b>charged:</b>	\$	

a. ☒ A check in the amount of \$2040.00 to cover the above fees is enclosed.

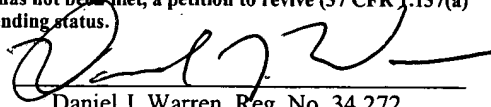
b. ☐ Please charge my Deposit Account No. 19-5029 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 19-5029. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**  
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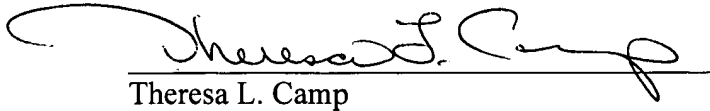
FEE VALUE ACCOUNTABILITY	
DEPOSIT	ACCOUNT NO.
19	5029
FEE CODE	VALUE FURNISHED
1632	(500)
1642	1000
1615	300
1616	260

Express Mailing No. EL973793464US  
Inventors: Steffen Pilotek, et al.

Atty. Docket No. 23913.0001

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service in an Express Mail envelope (No. EL973793464US) addressed to: Commissioner of Patents & Trademarks, P.O. Box 1450, on the 3<sup>rd</sup> day of May, 2005.

  
Theresa L. Camp

Documents attached:

1. Transmittal Letter - 2 pgs
2. 2 copies - WO Patent Application
3. German Language Declaration/Power of Attorney - 4 pgs.
4. Amendments to the Claims of the International Application - 12 pgs.
5. Assignment w/cover sheet - 3 pgs.
6. Preliminary Amendment - 9 pgs.
7. 2 Copies - English Translation of International Application
8. English Translation of International Preliminary Examination Report - 3 pgs.
- 8. Information Disclosure & Form 1449 - with References
9. Check in the amount of \$2040.00
- 10 Return Receipt Post Card